

Region VI Workforce Development Board 17 Middletown Road White Hall, WV 26554	Performance/Subsequent Eligibility requirements for Eligible Training Provider List
	Date July 22, 2015

Region VI Guidance Letter No 4-15

To: All WorkForce WV Center staff  
All Region VI Career Planners  
All Local Training Providers

From: Region VI Workforce Development Board

Subject: Process for Training Provider Initial Eligibility and Subsequent Eligibility  
for inclusion on the Eligible Training Provider (EPTL) statewide list

1. PURPOSE: To inform Local Training Providers of policy and guidelines  
regarding Performance Requirements and Subsequent Eligibility  
requirements for inclusion on the statewide Eligible Training  
Provider List (EPTL).

2. REFERENCE: Workforce Innovation and Opportunity Act of 2014 Section 122,  
TEGL 41-14, WorkForce West Virginia WIOA Policy 01-15

3. BACKGROUND: The Workforce Innovation and Opportunity Act of 2014, Section  
122, outlines the process for the development of the statewide  
Eligible Training Provider list (EPTL).

ACTION: Effective July 22, 2015, the Region VI Workforce Development  
Board will advise all Region VI Eligible Training Providers and  
those Training Providers who desire approval as an Eligible  
Training Provider to follow the procedures as outlined in the  
Workforce West Virginia policy number 01-15.

A copy of this policy can be obtained from The Region VI  
Workforce Development Board.

EXPIRATION

DATE: Effective until rescinded or modified by the Region VI Workforce  
Development Board.

Attachments:

WorkForce West Virginia WIOA Policy 01-15

**WIOA Policy No. 01-15  
WorkForce West Virginia**

**TO:** Workforce Development Boards/Training Providers/Interested Members  
of the Public/Representatives of Business and Labor Organizations

**FROM:** WorkForce West Virginia

**DATE:** June 8, 2015

**SUBJECT:** Eligible Training Provider List

**EFFECTIVE DATE:** July 22, 2015

**I. REFERENCE(S):**

Workforce Innovation and Opportunity Act of 2014, Section 122. TEGL WIOA NO. 41-14

**II. PURPOSE:**

To establish policy for the statewide Eligible Training Provider List.

**III. BACKGROUND:**

The Workforce Innovation and Opportunity Act (WIOA) section 122 requires the Governor and State Workforce Development Board (SWDB) to establish eligibility criteria and procedures and publish a list of training providers who meet the established criteria. The Eligible Training Provider List (ETPL) is to be made available to State and local boards, as well as WIOA participants and members of the public.

Eligible training providers are entities eligible to receive WIOA funds for adult and dislocated worker participants who enroll in training. Potential providers include:

- A. institutions of higher education that provide a program that leads to a recognized post-secondary credential;
- B. entities that carry out programs registered under the National Apprenticeship; and
- C. other public or private providers of a program of training services, which may include joint labor-management organizations, and eligible providers of adult education and literacy activities under Title II of WIOA if such activities are provided in combination with occupational skills training.

#### IV. PROCEDURE:

##### Training Provider Eligibility Procedures

###### Initial Application and Eligibility Requirements

Training providers not currently on the ETPL who choose to apply for the ETPL will need to complete items 2(a) through 2(g) below. Current providers of training who wish to add a new program of study to the ETPL must complete items 2(d) through 2(f) below.

1. Submit a completed Providers of Training Services Initial Application for processing to WorkForce West Virginia (WFWV) at the following link:  
<https://public.workforcewv.org/ServiceProvider/ServiceProviderEnrollment.asp?>
2. Training Providers must provide the following:
  - a. Provider name, contact information, and Federal Employer Identification Number (FEIN).
  - b. Evidence of Provider accreditation and/or licensure with appropriate state or other governing entity.
  - c. Student grievance and refund policy and procedure.
  - d. Information pertaining to program including, CIP, performance, cost, partnership with business, and alignment of training with in-demand occupations.
  - e. Evidence of Program accreditation and/or licensure with appropriate state or other governing entity.
  - f. The provider agrees they will begin to gather student data and will submit student data by September 30<sup>th</sup> for the *previous* academic year defined as July 1 through June 30 (i.e. On September 30, 2016, data will be due for students who completed by June 30, 2015).
  - g. Must provide all assurances, including but not limited to Section 504 of the Rehabilitation Act of 1973.
3. Upon receipt of completed application and program data, WFWV shall attempt to make an initial determination of statewide eligibility within 15 business days. Training providers will be contacted via email if the completed application and/or program data requires clarification.
4. The Local Workforce Development Board (LWDB) will then have 10 business days to review and make comments to the state prior to the official determination of eligibility and placement on the ETPL.
5. Upon successful initial eligibility determination by WFWV, the training program will be added to the ETPL. Training providers may check their provider/program status at:  
<https://public.workforcewv.org/ForgotPassword.asp?>
6. New providers will be continually added to the ETPL as they become eligible. Initial eligibility remains in effect for a maximum of one year. (All continuing eligibility applications must be received by September 30).

### **Transitional Eligible Training Provider List July 1, 2015 through December 31, 2015**

Training providers who were on the ETPL as of the date WIOA was enacted, July 21, 2014, will remain on the ETPL until December 31, 2015. In order to remain on the ETPL after December 31, 2015, transitional training providers must apply for renewal following the renewal application and continuing eligibility requirements described below by September 30, 2015.

### **Renewal Application and Continuing Eligibility Requirements**

Training providers, who currently have programs listed on the ETPL and want to have their programs remain on the ETPL, must follow the procedures for continuing eligibility determination as follows:

Training providers must apply for renewal by September 30<sup>th</sup>. The eligibility period will span the respective following two calendar years (January 1—December 31).

1. Submit a completed Providers of Training Services Renewal Application for processing to WFVW via <https://public.workforcewv.org/ForgotPassword.asp?>  
Application must include:
  - a. updated provider information;
  - b. updated information on each program pertaining to alignment of training with in-demand occupations; and
  - c. updated program data.
2. Submit student data as referenced in WIOA sections 116 and 122 for calculation of State Performance Information by September 30<sup>th</sup> for the *previous* academic year defined as July 1 through June 30 (i.e. on September 30, 2016, data will be due for students who completed by June 30, 2015). This data will be included on the ETPL for the upcoming calendar year (CY).
3. Upon receipt of completed application and student data, WFVW will make a determination of continuing statewide eligibility based on completeness of submitted information.
4. The Local Workforce Development Board (LWDB) will then have 10 business days to review and make comments to the state prior to the official determination of continuing eligibility and placement on the ETPL.
5. The statewide ETPL will be published at: <https://public.workforcewv.org/JobSeeker/TrainingCourseSearch.asp> by December 15<sup>th</sup> for the upcoming calendar year.
6. Continued eligibility will be reviewed by the state biennially.
7. Minimum levels of training provider performance may be considered as a criterion for continued eligibility beginning 2018.

## **Removal from ETPL and Appeals**

A training provider and/or its program may be denied inclusion in or removed from the state ETPL for the following reasons (documented proof that these conditions exist must be provided):

1. The initial and/or renewal application was not completed, was not completed by established due date, or was missing required information;
2. Performance data was not submitted or was not submitted by established due date;
3. The training provider intentionally supplied inaccurate information (This exclusion or removal will remain in effect for a minimum of two years);
4. The training provider substantially violated any requirement under WIOA, state or local laws and policy; or
5. The training provider loses its license or accreditation of its accrediting body.
6. Upon setting minimum levels of performance, a provider or program that fails to meet such levels will be removed.

If WFWV denies eligibility for listing of a provider's program on the ETPL, they must inform the provider in writing and include the reason(s) for the denial and complete information on the appeal process within 14 calendar days of the decision. WIOA students currently enrolled in such a program will be allowed to complete the program. If a training provider chooses to appeal, a training program that is subject to removal shall remain on the State ETPL until the appeal is concluded.

A provider choosing to appeal a decision must submit a written appeal to WFWV within 30 calendar days of the issuance of the denial notice. The written appeal must include:

- a statement of the desire to appeal;
- specification of the program in question; and
- specifically and in detail the grounds and the reasons upon which it is claimed that the denial was erroneous.

WFWV will not consider any factual or legal grounds for relief that are not set forth in the appeal. WFWV will determine whether a hearing for the purpose of fact-finding is necessary and will issue a decision not later than 30 calendar days from either the date an in-person hearing is held, or the date the appeal request is received by WFWV.

Programs that have been removed from or denied listing on the ETPL may be reinstated after one year (two years if removal was due to willful submission of inaccurate information) by applying through the initial application process.

## **Eligibility Exemptions**

### **National Apprenticeship Act**

Providers of National Apprenticeship Act programs are exempt and therefore not required to submit performance data or undergo a state review during the initial or continuing eligibility determination process. These providers, however, must submit a letter to WFWV requesting to be included on the ETPL. Once received, the provider will be added to the state's list of Eligible Training Providers.

### **On-the-Job Training, Customized Training, Incumbent Worker Training, and Other Training Exceptions**

Providers of on-the-job training, customized training, incumbent worker training, internships, and paid or unpaid work experience opportunities, or transitional employment are exempt and therefore not required to submit performance data or undergo a state review during the initial or continuing eligibility determination process. Instead, Section 122(h)(2) tasks the "one-stop operator in a local area shall collect such performance information from providers ... and use the information to determine whether the providers meet such performance criteria as the Governor may require. The one-stop operator shall disseminate information identifying such providers that meet the criteria as eligible providers, and the performance information, through the one-stop delivery system." One-stop operators in a local area should complete reviews of these types of providers to ensure continued use of their services provides participants with quality relevant training opportunities.

### **Youth Workforce Investment Activities**

Youth workforce investment activities are exempt and therefore not required to submit performance data or undergo a state review during the initial or subsequent eligibility determination process. They do not have to submit applications for either initial or renewal phases.

## **IV. Public Comments and Recommendation**

All comments/recommendations are to be submitted via [wfwioacomment@wv.gov](mailto:wfwioacomment@wv.gov). Comments/recommendations must be received by June 29, 2015.

*\*This is a living document and subject to change as needed to accommodate public comments, Federal and State requirements and expectations, etc.*